

## CIM

Please Print or Type <u>MPORTANT INFORMATION:</u> Please check with your current custodian for their Fransfer/Rollover Requirements.		Forward To: Fir <u>Regular Mail</u> PO Box 219312 Kansas City, MO		<u>Overnight Delivery</u> Mail Stop: CIM 430 West 7th Street
tep 1: IRA OWNER INFORMATION		855-387-3847		Kansas City, MO 64105-1407
A Owner Name	Social Security Number		Existing FTR Account Number (If applicable)	
ddress	City / State / Zip		Phone Nun	nber
ep 2: CURRENT CUSTODIAN NAME & ADDRESS J Funds are staying with First Trust Retirement as Cu	stodian, changing investments only. (Plea	se provide investm	ent name & a	ccount number.)
Current Custodian Name	Current Custodian Phone Number		Current Investment Name/Fund Number	
Current Custodian Address- Physical Address Require	ired for Overnight Delivery		City / State / Zip	
Fax Number	Transfer/Rollover Reques acceptable to fax to resign		Current Custodian Account Number to be transferred	
ease include a copy of your current statement. ep 3: TYPE OF TRANSFER/ROLLOVER			transferrea	
Yes No PARTICLE Yes No No PARTICLE Yes No No PARTICLE Yes NO P	resigning custodian. N (check all that apply) le to First Trust Retirement. cash listed in Step 2, by moving assets out of FTR and changing investments. al liquidation of \$	. ( <i>This alerts FTR to</i>	include require	
investment.  Amount to Transfer:  Cash proceeds to liquidate* (If applicable) *Only select the cash proceeds option, if you wish the Existing FTR Undirected Cash Account	All Partial transfer of All Partial transfer of \$ resigning custodian to liquidate additional		nares nor the Transf	ier in Kind.
Select this option to transfer cash funds from the exist Amount to Liquidate:	sting FTR Undirected Cash Account and dep Partial transfer of \$	oosit into a new inv	estment rema	ining with FTR.
ep 5: SIGNATURE REQUIRED		*FTR cannot MSG	without witness	e Guarantee Below* ing Investor's signature execution. rent Custodian Listed in Step 2.
IRA Owner Signature BELOW FOR INTERNAL U Acceptance of Rollover/Transfer/Conversion by Firs By: By: First Trust Retirement Authorized Signate	t Trust Retirement:			